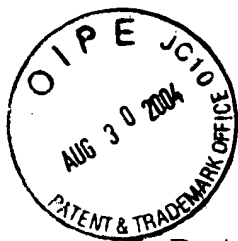


DOCKET NO.: MSFT-3491/306934.1

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE.

In Re Application of:

James M. Alkove, et al.

Confirmation No.: 2413

Application No.: 10/827,167

Group Art Unit: 2661

Filing Date: April 19, 2004

Examiner: Not Yet Assigned

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TYPED NAME: Steven H. Meyer
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Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).



In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of **\$180.00** as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached;
or

☐ The fee of **\$180.00** as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of **\$180.00** as set forth in § 1.17(p).

- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☒ Copies of references listed on the attached Form PTO-1449 are enclosed herewith
- ☒ Copies of references listed on the attached Form PTO 1449 are not required to be submitted pursuant to the June 30, 2003 recent revisions to 37 CFR § 1.98(a)(2)(i).

EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
 - ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No.

, filed

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date:

Aug. 26 2004



Steven H. Meyer

Registration No. 37,189

WOODCOCK WASHBURN LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439



Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. MSFT-3491/306934.1	Application No. 10/827,167
		Applicant James M. Alkove, et al.	
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	1	Hong, S. et al., "On the construction of a powerful distributed authentication server without additional key management", <i>Computer Communications</i> , 2000 , 23, 1638-1644	
	2	Managing Digital Rights in Online Publishing, "How two publishing houses maintain control of copyright" <i>Information Management & Technology</i> , 2001 , 34(4), 168-169	
	3	Jakobsson, M. et al., "Proprietary Certificates", <i>Topics in Cryptology</i> , 2002 , 164-181	
	4	Kumik, P. "Digital Rights Management", <i>Computers and Law</i> , 2000 , 11(4), 14-15	
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	12	Gunter, C.A., et al. "Models and Languages for Digital Rights", <i>Proceedings of the 34th Annual Hawaii International Conference on System Sciences</i> , 2001 , 1-5	
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					YES	NO	
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	22	WO 01/52021 A1	07/19/01	PCT			
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